

Internal Audit Manager



Plan, execute and evaluate the results of your internal audit efforts

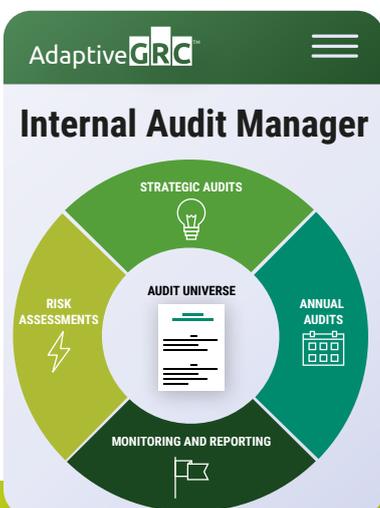
Internal auditing, used as a universal tool for monitoring and controlling the organization, not only shows the compliance of the quality control system with appropriate norms, standards, procedures, internal requirements and legal regulations, but also provides management with data necessary to make informed decisions that will shape the future of the organization.

The goal of internal auditing is to add value and facilitate current operations, as well as provide information for planning future enhancements and optimizations. Such auditing relies on systematic and ordered assessment of risk management, control and corporate governance processes, actively aiding in improving their efficiency. This helps the organization in achieving its goals by ensuring the efficacy of said processes as well as by providing advisory information.

AdaptiveAudit is a comprehensive tool that facilitates internal audit processes, from the setup of audit space, risk analysis, strategic planning, through annual planning, performing the audit, all the way to monitoring and implementing post-audit recommendations and other actions.

Internal Audit Manager Benefits

- ✓ Standardize audit processes across all audit teams.
- ✓ Handle large scale implementations and thousands of records with ease.
- ✓ Increase audit team efficiency by easily automating repetitive tasks.
- ✓ Save time thanks to auto-generated audit reports and monitoring notifications.
- ✓ Set audit priorities based on risk assessments.
- ✓ Engage stakeholders throughout your organization in post-audit activities by assigning audit findings and actions to business units and users.
- ✓ Clearly and easily monitor how audit findings and remedial actions are progressing.
- ✓ Perform strategic and annual audit planning using our proprietary feature that helps you balance resources.
- ✓ Dramatically reduce manual data errors thanks to safety gates.
- ✓ Your team can use it safely and to its full potential after minimal onboarding due to its intuitive UI and unique architecture.



AdaptiveGRC Solution Suite

AdaptiveGRC Internal Audit Manager can be used as an individual and discrete solution, or deployed as part of a fully integrated GRC framework.

Compliance Manager



Document Manager



Enterprise Architect Manager



Enterprise Risk Manager



Quality Manager



Personal Information Manager



Integrated Data Platform. Accepts high value data feeds from other systems.

Audit universe and risk assessment

In AdaptiveAudit, the audit space includes a database of audit objects, such as processes, business lines, companies, external suppliers, applications, etc.

The AdaptiveAudit system enables you to perform risk analysis in each of the audit areas on the basis of questionnaire input and risk-calculating algorithms.

Strategic audit planning (for a 5 year period)

The AdaptiveAudit solution allows you to configure a strategic schedule of audit reviews in the organization within a 5-year period, one that accounts for levels of risk related to each area (notably, audit processes) as well as the dates and results of recent audits.

Such planning results in the creation of a strategic audit plan for areas that ought to be audited in the following years.

Annual audit planning (one year period)

The annual audit plan generated by AdaptiveAudit contains a general description of tasks that the internal audit team is going to perform over that period. As part of annual planning you can also plan audit tasks for each quarter of the given year.

The system allows you to automatically allocate person-days to teams and to define the composition of each team, as well as to distribute and swap person-days between teams.

Monitoring of post-audit recommendations and actions

While auditing is being performed on location, the system allows you to monitor the degree to which the control mechanisms meet your predictions. Should there be any discrepancies, you can have these listed and any post-audit recommendations and corrective actions registered and assigned. AdaptiveAudit gives you access to comprehensive monitoring of the implementation of post-audit recommendations through real-time access to information about the status of each recommendation as well as reporting to the individuals involved.

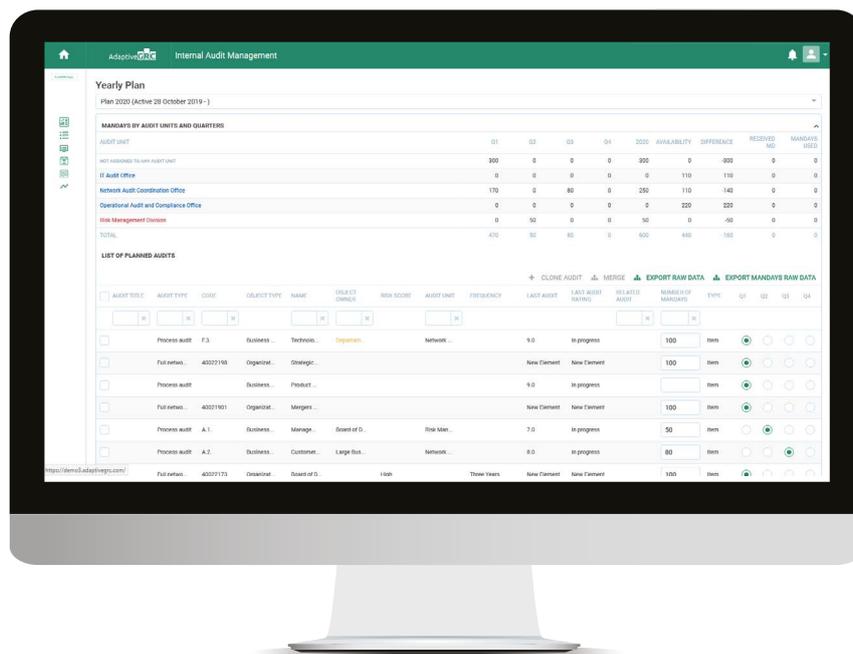
Audit work in stages

Defining an audit is divided into several stages, each flagged with a status. Depending on the current status of the audit, only certain fields can be filled in. The users of the system, in accordance with the role they play in the audit process, fill in information, change the status, validate data and move to the next stage of the audit process.

Reporting and automatic system notifications

The AdaptiveAudit system notifies its users about all significant changes in running audits as well as about any action required from each user.

The system can generate a variety of reports to assist you in analyzing the organization's situation precisely and to clearly present the results of all audit actions.



find out more at www.adaptivegrc.com